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*Christine Sherwood*  
Signature

Applicant : Samuel T. Barone, et al.  
Application No. : 09/945,005  
Filed : August 31, 2001  
Title : SYSTEM AND METHOD FOR DISPLAYING  
CLOSED CAPTIONS IN AN INTERACTIVE TV  
ENVIRONMENT  
Grp./Div. : 2611  
Examiner : To be assigned  
Docket No. : 47049/PAN/M770

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DEC 10 2001  
Technology Center 2600

INFORMATION DISCLOSURE STATEMENT  
37 CFR § 1.97(b)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Post Office Box 7068  
Pasadena, CA 91109-7068  
November 5, 2001

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO-1449 listing the references that are known to applicant. Copies of each of the listed references are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO-1449 be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,  
CHRISTIE, PARKER & HALE, LLP

By *Peter A. Nichols*  
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626/795-9900

PAN/cks  
Enclosures: PTO-1449, w/references  
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FORM PTO-1449

## INFORMATION DISCLOSURE

## STATEMENT BY APPLICANT

(use as many sheets as necessary)



Attorney Docket Number 47049/PAN/M770

Application Number 09/945,005

Filing Date August 31, 2001

Applicant(s) Samuel T. Barone, et al.

Group Art Unit 2611

Examiner Name To be Assigned

## U.S. PATENT DOCUMENTS

| EXAMINER INITIALS | DOCUMENT NUMBER | ISSUE DATE | PATENTEE         | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|-------------------|-----------------|------------|------------------|-------|----------|----------------------------|
| PL                | 6,002,394       | 12/1999    | Schein et al.    | 345   | 327      | RECEIVED                   |
| PL                | 5,990,927       | 11/1999    | Hendricks et al. | 348   | 6        | DEC 10 2001                |
| PL                | 5,610,665       | 03/1997    | Berman           | 348   | 564      | Technology Center 2600     |
|                   |                 |            |                  |       |          |                            |
|                   |                 |            |                  |       |          |                            |
|                   |                 |            |                  |       |          |                            |
|                   |                 |            |                  |       |          |                            |

## FOREIGN PATENT DOCUMENTS

| EXAMINER INITIALS | DOCUMENT NUMBER | PUBLICATION DATE | COUNTRY OR PATENT OFFICE | CLASS | SUBCLASS | TRANSLATION |    |
|-------------------|-----------------|------------------|--------------------------|-------|----------|-------------|----|
|                   |                 |                  |                          |       |          | YES         | NO |
|                   |                 |                  |                          |       |          |             |    |
|                   |                 |                  |                          |       |          |             |    |
|                   |                 |                  |                          |       |          |             |    |
|                   |                 |                  |                          |       |          |             |    |

## OTHER DOCUMENTS

| EXAMINER INITIALS | Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |
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| EXAMINER SIGNATURE   |  | DATE CONSIDERED | 9/30/2005 |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |  |                 |           |

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